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Attorney's Docket No.: 06618-605001 / CIT 3188
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lutfollah Maleki et al. Art Unit : Unknown
Serial No.: 09/816,872 Examiner : Unknown
Filed : March 22, 2001
Title : NON-SPHERICAL WHISPERING-GALLERY-MODE MICROCAVITY

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed May 31, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- Payment of the basic filing fee of \$355;
- Payment of the additional/multiple dependent claims fees of \$40;
- Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- Applicant hereby petitions under 37 C.F.R. §1.136 for a 1 month extension of time for which the fee of \$55 is attached;
- Other: This applicant claims small entity status under 37 CFR §1.9(f) and §1.27(c).

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

08/29/2001 BABRAHA1 00000046 09816872

01 FC:215

55.00 OP

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 23, 2001

Date of Deposit



Signature

Norman Green

Typed or Printed Name of Person Signing Certificate

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

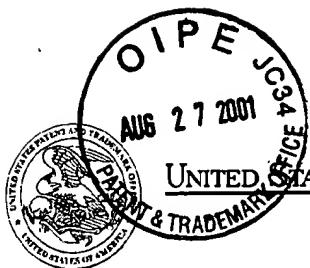
Respectfully submitted,

Date: 8-23-01


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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,872	03/22/2001	Lutfollah Maleki	06618/605001/CIT3188

CONFIRMATION NO. 6064

FORMALITIES LETTER



OC000000006131668*

SCOTT C. HARRIS
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08/29/2001 BABRAHA1 00000045 09816872

01 FC:201	355.00 OP
02 FC:202	40.00 OP
03 FC:205	65.00 OP

Date Mailed: 05/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

A copy of this notice MUST be returned with the reply.

AM

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE